

# Universal Serial Bus PS Interface White Paper

---

*Revision 1.00*

April 14, 2014

## Editor

Richard Wahler SMSC

## Contributors

Gary Verdun Dell, Inc.

Steve Sedio Foxconn / Hon Hai

Alan Berkema Hewlett Packard

Lee Atkinson Hewlett Packard

Rahul Lakdawala Hewlett Packard

Ron Schooley Hewlett Packard

Vaibhav Malik Hewlett Packard

Walter Fry Hewlett Packard

Bob Dunstan Intel Corporation PD Chair/Protocol WG Lead

Brad Saunders Intel Corporation

Dan Froelich Intel Corporation

David Harriman Intel Corporation

Jervis Lin Intel Corporation

John Howard Intel Corporation

Leo Heiland Intel Corporation

Paul Durley Intel Corporation

Rahman Ismail Intel Corporation System Policy Lead

Sarah Sharp Intel Corporation

Scott Brenden Intel Corporation

Sridharan Ranganathan Intel Corporation

Steve McGowan Intel Corporation

Toby Opferman Intel Corporation

Kenta Minejima Japan Aviation Electronics Industry Ltd. (JAE)

Mark Saubert Japan Aviation Electronics Industry Ltd. (JAE)

Toshio Shimoyama	Japan Aviation Electronics Industry Ltd. (JAE)	
Dave Thompson	LSI Corporation	
Geert Knapen	MCCI	
Chris Yokum	MCCI Corporation	
Marwan Kadado	Microsoft Corporation	
Randy Aull	Microsoft Corporation	
Yang You	Microsoft Corporation	
Frank Borngräber	Nokia Corporation	
Richard Petrie	Nokia Corporation	PD Vice-Chair/Device Policy Lead
Sten Carlsen	Nokia Corporation	Physical Layer WG Lead
Bart Vertenten	NXP Semiconductors	
Robert de Nie	NXP Semiconductors	
Robert Heaton	Obsidian Technology	
Cor Voorwinden	ON Semiconductor	
Edward Berrios	ON Semiconductor	Power Supply WG Lead
Tom Duffy	ON Semiconductor	
Narendra Mehta	Qualcomm, Inc.	
Terry Remple	Qualcomm, Inc.	
Yoram Rimoni	Qualcomm, Inc.	
Atsushi Mitamura	Renesas Electronics Corp.	
Atsushi Mitamura	Renesas Electronics Corp.	
Kiichi Muto	Renesas Electronics Corp.	
Masami Katagiri	Renesas Electronics Corp.	
Patrick Yu	Renesas Electronics Corp.	
Peter Teng	Renesas Electronics Corp.	
Steve Roux	Renesas Electronics Corp.	
Tetsu Sato	Renesas Electronics Corp.	

Alvin Cox	Seagate Technology LLC	Cab Con WG Lead
John Hein	Seagate Technology LLC	
Marc Noblitt	Seagate Technology LLC	
Ronald Rueckert	Seagate Technology LLC	
Tony Priborsky	Seagate Technology LLC	
John Sisto	SMSC	
Ken Gay	SMSC	
Mark Bohm	SMSC	
Richard Wahler	SMSC	
Tim Knowlton	SMSC	
Fabien FRIESS	ST-Ericsson	
Giuseppe Platania	ST-Ericsson	
Jean-Francois Gatto	ST-Ericsson	
Nicolas Florenchie	ST-Ericsson	
Patrizia Milazzo	ST-Ericsson	
Anand Dabak	Texas Instruments	
Deric Waters	Texas Instruments	
Grant Ley	Texas Instruments	
Ivo Huber	Texas Instruments	
Jean Picard	Texas Instruments	
Martin Patoka	Texas Instruments	
Srinath Hosur	Texas Instruments	
Steven Tom	Texas Instruments	
Charles Neumann	Western Digital Technologies, Inc.	
Curtis Stevens	Western Digital Technologies, Inc.	
John Maroney	Western Digital Technologies, Inc.	

## Revision History

Revision	Comments	Issue Date
1.0	Initial release	April 14, 2014

### **INTELLECTUAL PROPERTY DISCLAIMER**

THIS SPECIFICATION IS PROVIDED TO YOU “AS IS” WITH NO WARRANTIES WHATSOEVER, INCLUDING ANY WARRANTY OF MERCHANTABILITY, NON-INFRINGEMENT, OR FITNESS FOR ANY PARTICULAR PURPOSE. THE AUTHORS OF THIS SPECIFICATION DISCLAIM ALL LIABILITY, INCLUDING LIABILITY FOR INFRINGEMENT OF ANY PROPRIETARY RIGHTS, RELATING TO USE OR IMPLEMENTATION OF INFORMATION IN THIS SPECIFICATION. THE PROVISION OF THIS SPECIFICATION TO YOU DOES NOT PROVIDE YOU WITH ANY LICENSE, EXPRESS OR IMPLIED, BY ESTOPPEL OR OTHERWISE, TO ANY INTELLECTUAL PROPERTY RIGHTS.

Please send comments via electronic mail to [techsup@usb.org](mailto:techsup@usb.org)

For industry information, refer to the USB Implementers Forum web page at <http://www.usb.org>

All product names are trademarks, registered trademarks, or service marks of their respective owners.

Copyright © 2010-2014 Hewlett-Packard Company, Intel Corporation, LSI Corporation, Microsoft Corporation, Renesas, STMicroelectronics, and Texas Instruments

All rights reserved.

## Table of Contents

Editor.....	2
Contributors .....	2
Revision History.....	5
<b>1. Introduction .....</b>	<b>8</b>
1.1. Overview.....	8
1.2. Purpose.....	8
1.3. Scope.....	8
1.4. Conventions .....	8
1.4.1. Precedence.....	8
1.4.2. Keywords.....	8
1.4.3. Numbering .....	8
1.5. Related Documents.....	9
1.6. Terms and Abbreviations.....	9
<b>2. Overview .....</b>	<b>11</b>
2.1. Introduction.....	11
2.2. Block Diagram.....	11
2.3. Assumptions .....	11
2.4. Messages .....	11
2.4.1. Commands (to PS).....	11
2.4.2. Status (from PS).....	12
2.4.3. Alert ( from PS) .....	12
<b>3. Physical Interface .....</b>	<b>13</b>
<b>4. SMBus Command Implementation .....</b>	<b>14</b>
4.1. Command Format.....	14
4.1.1. Byte And Bit Order.....	14
4.2. Register Map.....	14
4.3. Register Definition .....	14
4.3.1. Iset (Current Set).....	15
4.3.2. Vset (Voltage Set).....	15
4.3.3. Mode .....	15
4.3.4. Alert .....	16
4.3.5. Alert Shadow.....	16
4.3.6. Status.....	16
4.3.7. Alert Mask.....	17
4.3.8. Manufacturer ID.....	17
4.3.9. Device ID.....	18
4.3.10. PD/ Protocol Ver.....	18
4.3.11. Monitor V (50mV/bit).....	18
4.3.12. Monitor I (10mA/bit) .....	18
4.3.13. Monitor Temperature.....	19
4.3.14. Program Temp Trip.....	19

## List of Tables

Table 1-1 Terms and Abbreviations .....	9
Table 4-1 - Iset.....	15
Table 4-2 Vset.....	15
Table 4-3 - Mode.....	15
Table 4-4 - Alert.....	16
Table 4-5 - Status .....	17
Table 4-6 - Alert Mask.....	17
Table 4-7 - Manufacturer ID.....	18
Table 4-8 - Device ID .....	18
Table 4-9 - PD Protocol Version .....	18
Table 4-10 - Monitor Voltage.....	18
Table 4-11 - Monitor Current .....	18
Table 4-12 - Monitor Temperature.....	19
Table 4-13 - Programmable Temperature Trip.....	19

## List of Figures

Figure 2-1 PS Interface Block Diagram .....	11
---	----

# 1. Introduction

## 1.1. Overview

The USB Power Supply Interface White Paper defines a means of communicating with a Power Supply to support the requirements of the USB Power Delivery Specification

## 1.2. Purpose

This White Paper defines a Control/Status interface between the Device Policy Engine and the Power Supply. The Device Policy Engine in USB Power Delivery may use this interface to communicate with a Power Supply to provide the required Power (Voltage, Current) over VBUS.

## 1.3. Scope

The USB Power Supply Interface White Paper defines the general interface requirements for the interface in Chapter 2. Chapters 3 and 4 provide an example using SMBus as the interface.

## 1.4. Conventions

### 1.4.1. Precedence

If there is a conflict between text, figures, and tables, the precedence shall be tables, figures, and then text.

### 1.4.2. Keywords

Keywords differentiate between the levels of requirements and options.

#### 1.4.2.1. May

May is a keyword that indicates a choice with no implied preference.

#### 1.4.2.2. N/A

N/A is a keyword that indicates that a field or value is not applicable and has no defined value and shall not be checked or used by the recipient.

#### 1.4.2.3. Optional

Optional is a keyword that describes features not required by this White Paper. However, if an optional feature is implemented, the feature shall be implemented as defined by this White Paper (optional normative).

#### 1.4.2.4. Reserved

Reserved is a keyword indicating reserved bits, bytes, words, fields, and code values that are set-aside for future standardization. Their use and interpretation may be specified by future extensions to this White Paper. A reserved bit, byte, word, or field shall be set to zero. Receipt of a non-zero value or a reserved code value in defined fields shall be treated as an error.

#### 1.4.2.5. Shall

Shall is a keyword indicating a mandatory requirement. Designers are required to implement all such requirements to ensure interoperability with other conformant Devices.

#### 1.4.2.6. Should

Should is a keyword indicating flexibility of choice with a preferred alternative. Equivalent to the phrase "it is recommended".

### 1.4.3. Numbering

Numbers not immediately followed by a "b", "B", or "h" are decimal values. Numbers that are immediately followed by a lowercase "b" (e.g., 01b) are binary values. Numbers that are immediately followed by an uppercase "B" are byte values. Numbers that are immediately followed by a lowercase "h" (e.g., 3Ah) are hexadecimal values.

## 1.5. Related Documents

1. USB Power Delivery 1.0 specification
2. SMBus 2.0 specification

## 1.6. Terms and Abbreviations

This section defines terms used throughout this document. For additional terms that pertain to the Universal Serial Bus, see Chapter 2, “Terms and Abbreviations,” in USB Power Delivery 1.0 specification.

Table 1-1 Terms and Abbreviations

Term	Description
Consumer	The capability of a port (typically a Device’s upstream port) to sink power from the power conductor (e.g. $V_{BUS}$ ).
Consumer/Provider	A Consumer with the additional capability to act as a Provider.
DBO	Dead Battery Output
Device Policy Manager (DPM)	Module running in a Provider or Consumer that applies Local Policy to each port in the Device via the Policy Engine.
Hard Reset	This is initiated by a Hard Reset signaling from either port partner. It restores $V_{BUS}$ to the default condition and resets the PD communications engine to its default state.
PD	Power Delivery (USB Power Delivery)
PEC	Packet Error Code (See SMBus 2.0 specification)
Policy	Policy defines the behavior of PD capable parts of the system and defines the capabilities it advertises, requests made to (re)negotiate power and the responses made to requests received.
Policy Engine (PE)	The Policy Engine interprets the Device Policy Manager’s input in order to implement Policy for a given port and directs the Protocol Layer to send appropriate messages.
Port	A USB connection; either upstream or downstream. Typically exposed through an A, B or AB connector, but also includes captive cables. USB Power delivery defines the interaction between a pair of attached ports.
Port Partner	Power Delivery is negotiated between two ports connected by a USB cable. The ports are port partners.
Provider	A capability of a port (typically a Host or Hub downstream port) to source power over the power conductor (e.g. $V_{BUS}$ ).
Provider/Consumer	A Provider with the additional capability to act as a Consumer.
PS	Power Supply
RWP	Read Word Protocol with PEC (See SMBus 2.0 specification)
Sink	The port consuming power from $V_{BUS}$ ; most commonly a Device.
Soft Reset	Resets the PD communications engine to its default state.
Source	A role a port is currently taking to supply power over $V_{BUS}$ ; most commonly a Host or Hub downstream port.
System Policy	Overall system policy generated by the system, broken up into the policies needed by each port pair to affect the system policy. It is programmatically fed to the individual Devices for consumption by their Policy Engines.

Term	Description
System Policy Manager	Module running on the USB Host. It applies the System Policy through communication with PD capable Consumers and Providers that are also connected to the Host via USB.
vSafeDB	Safe operating voltage for Dual-Role Ports operating as Dead Battery Source.
WDOG	Watch Dog Timer
WWP	Write Word Protocol with PEC (See SMBus 2.0 specification)

## 2. Overview

### 2.1. Introduction

In Power Delivery, a Device Policy Engine can communicate with a Power Supply to provide the required Power (Voltage, Current) over VBUS.

### 2.2. Block Diagram

An example of a Power Supply interface is shown in Figure 2-1 PS Interface Block Diagram.

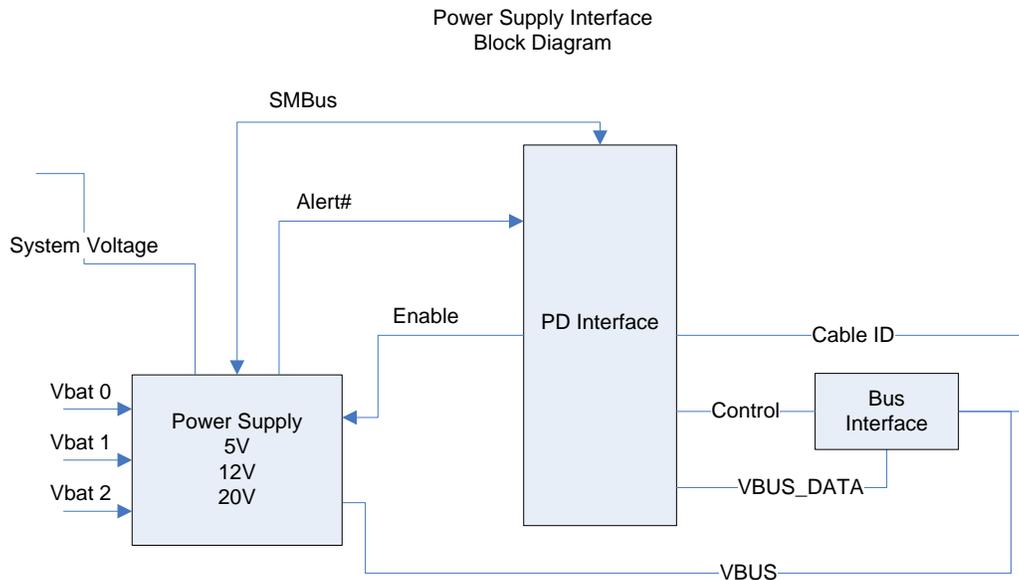


Figure 2-1 PS Interface Block Diagram

### 2.3. Assumptions

The following assumptions are made:

- Either the Device Policy Manager (DPM) or Policy Engine (PE) manages the Power Supply (PS) and is the bus master as appropriate for a given architecture.
- The Power Supply (PS) is the bus slave.
- Policy/Intelligence and knowledge of PD communication will reside outside of the Power Supply (PS).
- Power Supply control points are derived from the Policy Engine (PE) state machines.

### 2.4. Messages

#### 2.4.1. Commands (to PS)

The following commands are implemented:

1. Reset
2. Set voltage (VBUS)
  - a. Select Battery Voltage (optional)
  - b. Monitor Minimum Battery Voltage (optional)

3. Set Current (iSet) – Identify expected current. This allows a power supply to optimize the power delivery. (i.e. If a power supply can provide 5V at 1mA, 3mA or 5mA, the power supply can use the “Set Current” to select the optimum power supply method.)
4. Set Current Limit (optional) – Limit used to detect over current situation by the power supply
5. Set Mode
  - a. Source or Sink
  - b. Dead Battery Support
  - c. Watchdog Timer Support (optional)
6. Read average current over time (optional)
7. Input is now Stable (for Sink)
8. Goto Standby (to Sink)
9. Goto SwapStandby (0 current 0 voltage, {Sink or Source})
10. Alert Pin (Enable/Disable)

#### **2.4.2. Status (from PS)**

The following is the status that shall be reported by the PS

1. crowbar, over current, error conditions
2. Present voltage/current being supplied.
3. voltage/current change started/finished
4. PS Output Stable
5. Average current over time (optional)
6. Temperature (optional)

#### **2.4.3. Alert ( from PS)**

The following are the Alert events that shall be reported by the PS

1. Over current (optional)
2. Over Voltage (optional)
3. Under Voltage (optional)
4. Thermal Over Temp (optional)
5. Transition Complete
6. Hard Reset occurred
7. Invalid Command (optional)
8. Vbat Voltage below minimum (optional)

### 3. Physical Interface

1. Serial Bus for Messages ( SMBus, SPI)
2. Alert# Pin Output (from PS to DPM)
  - a. Used to indicate change of state (crowbar, over current, voltage change completed, current change completed, error conditions)
  - b. Active Low – will be active if enabled and any Alert Bits are set.
  - c. This Pin is open drain, active low. (This allows wire “OR” with external resistor.)
3. Enable (from Phy to PS, PE and DPM)
  - a. Used to turn off PS on a hard reset
  - b. Used to reset DPM and PE state on a hard reset
  - c. Active High – a “1”= output enabled (on); “0”=output disabled (off)

## 4. SMBus Command Implementation

### 4.1. Command Format

Refer to SMBus 2.0 specification:

1. Read Word Protocol with PEC (RWP)
2. Write Word Protocol with PEC (WWP)
3. The Address from the Register Map is used for the “Command Code” in the SMBus transfer.

#### 4.1.1. Byte And Bit Order

As specified in the SMBus specification, Version 2.0:

1. When data is transmitted, the lowest order byte is sent first and the highest order byte is sent last.
2. Within any byte, the most significant bit (MSB) is sent first and the least significant bit(LSB) is sent last.

### 4.2. Register Map

Address (SMBus Command)	Required Optional <sup>2</sup>	Parameter	Values	Command Type See Section 4.1
00h	R	Iset - 10mA units	See Section 4.3.1	RWP; WWP
01h	R	Vset - 50mV units	See Section 4.3.2	RWP; WWP
02h	R	Mode	See Section 4.3.3	RWP, WWP
03h	R	Alert	See Section 4.3.4	RWP, WWP
04h	R	Alert Shadow Register	See Section 4.3.5	RWP
05h	R	Status	See Section 4.3.6	RWP
06h	R	Alert Mask	See Section 4.3.7	RWP, WWP
07h	R	Manufacturer ID	See Section 4.3.8	RWP
08h	R			
09h	R			
0Ah	R			
0Bh	R	Device ID	See Section 4.3.9	RWP
0Ch	R	PD/ Protocol Ver.	See Section 4.3.10	RWP
0Dh	O	Monitor V (50mV/bit)	See Section 4.3.11	RWP
0Eh	O	Monitor I (10mA/bit) <sup>1</sup>	See Section 4.3.12	RWP
0Fh	O	Monitor Temp	See Section 4.3.13	RWP
10h	O	Program Temp Trip	See Section 4.3.14	RWP, WWP
11h – 7Fh	O	Optional ...	(Vendor Specific)	RWP, WWP

Table Notes:

1. Polarity is assumed based on the device function (source / sink). Value represents the magnitude.
2. “O” in this column means implementation is optional.

### 4.3. Register Definition

Register definitions include: b = active bit, 0 = unused bit, set to 0, f = fault (over or under flow)

### 4.3.1. Iset (Current Set)

Table 4-1 - Iset

Bit(s)	Description
B15..10	Reserved – shall be set to zero
B9..0	Current in 10 mA units

### 4.3.2. Vset (Voltage Set)

Table 4-2 Vset

Bit(s)	Description																									
B15..12	<table border="0"> <tr> <td>B15</td> <td>B14</td> <td>B13</td> <td>B12</td> <td></td> </tr> <tr> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>- Voltage defined by B9...0 (Fixed Power Supply)</td> </tr> <tr> <td>1</td> <td>0</td> <td>0</td> <td>1</td> <td>- Connect Vbat0 to VBUS (optional)</td> </tr> <tr> <td>1</td> <td>0</td> <td>1</td> <td>0</td> <td>- Connect Vbat1 to VBUS (optional)</td> </tr> <tr> <td>1</td> <td>1</td> <td>0</td> <td>0</td> <td>- Connect Vbat2 to VBUS (optional)</td> </tr> </table>	B15	B14	B13	B12		0	0	0	0	- Voltage defined by B9...0 (Fixed Power Supply)	1	0	0	1	- Connect Vbat0 to VBUS (optional)	1	0	1	0	- Connect Vbat1 to VBUS (optional)	1	1	0	0	- Connect Vbat2 to VBUS (optional)
B15	B14	B13	B12																							
0	0	0	0	- Voltage defined by B9...0 (Fixed Power Supply)																						
1	0	0	1	- Connect Vbat0 to VBUS (optional)																						
1	0	1	0	- Connect Vbat1 to VBUS (optional)																						
1	1	0	0	- Connect Vbat2 to VBUS (optional)																						
B11..10	Reserved – shall be set to zero																									
B9..0	If B15 = 0; Voltage in 50 mV units If B15 = 1; Minimum Battery Voltage in 50mV units (optional)																									

### 4.3.3. Mode

Table 4-3 - Mode

Bit(s)	Description
B15	ON/OFF, (1 Bit) 0= OFF 1= ON
B14	Source/Sink, (1 Bit) 0= Sink 1= Source
B13	DBO, (1 Bit) – Dead Battery Output (VSafeDB) (Safe Operating Voltage for Dual-Role ports operating as Dead Battery Source) 1= Dead Battery Output
B12	WDOG On/Off, (1 Bit) Opt 0= Off 1 = On
B11	WDOG long/short, (1 Bit) Opt 0= WDOG short: 85msec (min) 95msec (max) 1= WDOG long: 170msec (min) 190msec (max) WDOG timer is reset on any valid SMBus Transactions

Bit(s)	Description
B10	Alert Pin 0 = Disabled 1= Enabled
B9	Reset, (1 Bit) 0= No change 1= Reset – Reset chip to default power on state. This bit is self-cleared by hardware.
B8..5	Reserved – shall be set to zero
B4..0	Vendor Specific

#### 4.3.4. Alert

Alert bits are latched. A “1” value indicates an Alert event. The contents of the Alert register are ORed together and used to set the Alert output. The Mask register is used to disable individual Alert bits.

Alert register writes use a write “1” to clear. A “1” bit in the written word is used to clear just that bit.

Alert Register operation:

When the Alert register is read, the Alert# Pin is de-asserted (float).

When the Alert register is written with B0 set, the Alert Pin is re-enabled and will be active if any Alert Bits are active.

**Table 4-4 - Alert**

Bit(s)	Description
B15	Over Current
B14	Over Voltage, Optional
B13	Under Voltage, Optional
B12	Thermal Over Temp, Optional
B11	Hard Reset Occurred, (Disabled)
B10	Transition Complete
B9	Invalid Command, Optional
B8	PEC Error, Optional
B7	Watchdog, Optional
B6	Vbat Voltage Below minimum as set in Vset (Optional)
B5..4	Reserved – shall be set to zero
B3..1	Vendor Specific
B0	Alert Enable – writing a 1 to this bit enables the Alert pin. This bit is read as zero.

#### 4.3.5. Alert Shadow

This register can be used to poll the Alert bits. Reading this register does not disable the Alert# pin.

#### 4.3.6. Status

Status represents real-time functionality. Bits may change when event changes.

**Table 4-5 - Status**

Bit(s)	Description
B15	Fault (any fault, over current, over temp, any other issues is present)
B14	PS Output Stable
B13	Output Enabled/Disabled (state of Enable input pin)
B12	Alert Pin (state of Alert pin) (This bit is a “1” if driving the signal active.)
B11	Output Impedance as per Power Delivery Specification vSafeDB
B10..6	Reserved
B5..0	Vendor specific

**4.3.7. Alert Mask**

Set a bit to a “1” to mask (disable) an Alert bit position. The Alert register is bitwise ORed with the complement of the mask register to drive the Alert function. This allows a read of the Alert Register (or Alert Shadow Register) to be written to the mask register to disable all active Alerts. (This register shall default to all 1’s on POR, Alarms are disabled.)

**Table 4-6 - Alert Mask**

Bit(s)	Description
B15	Over Current
B14	Over Voltage
B13	Under Voltage
B12	Thermal Over Temp
B11	Hard Reset Occurred
B10	Transition
B9	Invalid Command
B8	PEC Error
B7	Watchdog
B6	Vbat Voltage Below minimum
B5..4	Reserved – shall be set to zero
B3..1	Vendor Specific
B0	Reserved – shall be set to zero

**4.3.8. Manufacturer ID**

Manufacturer ID may be a sequence of ASCII codes for a unique character string. (8 characters). It is up to the manufacturer to select a unique character string.

**Table 4-7 - Manufacturer ID**

Address	Bit(s)	Description	Company "ABDCORP"
7h	B7..0	1st Character	"A"
	B15..8	2 <sup>nd</sup> Character	"B"
8h	B7..0	3 <sup>rd</sup> Charcter	"C"
	B15..8	4 <sup>th</sup> Character	"D"
9h	B7..0	5 <sup>th</sup> Character	"C"
	B15..8	6h Character	"O"
Ah	B7..0	7 <sup>th</sup> Character	"R"
	B15..8	8 <sup>th</sup> Character	"P"

**4.3.9. Device ID**

**Table 4-8 - Device ID**

Bit(s)	Description
B15..0	Manufacturer Unique Device ID

**4.3.10. PD/ Protocol Ver.**

**Table 4-9 - PD Protocol Version**

Bit(s)	Description
B15..2	Reserved – shall be set to zero
B1..0	00 = Power Delivery 1.0

**4.3.11. Monitor V (50mV/bit)**

**Table 4-10 - Monitor Voltage**

Bit(s)	Description
B15	1 = Fault
B14..10	Reserved – shall be set to zero
B9..0	Voltage Low Limit in 50 mV units

**4.3.12. Monitor I (10mA/bit)**

**Table 4-11 - Monitor Current**

Bit(s)	Description
B15	1 = Fault
B14..10	Reserved – shall be set to zero
B9..0	Over Current Limit in 10 mA units

### 4.3.13. Monitor Temperature

Table 4-12 - Monitor Temperature

Bit(s)	Description
B15	1 = Fault
B14..0	Temperature in 1/16 degrees, 0 to 255 C (12 bits)

### 4.3.14. Program Temp Trip

Table 4-13 - Programmable Temperature Trip

Bit(s)	Description
B15..0	Temperature in 1/16 degrees 0 to 255 C (12 bits)